



COPY OF PAPERS
ORIGINALLY FILED

143

Page 1 of 1

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/053,487	11/02/2001	Toshihiro Sasai	080975

CONFIRMATION NO. 3599

20350
TOWNSEND AND TOWNSEND AND CREW, LLP
TWO EMBARCADERO CENTER
EIGHTH FLOOR
SAN FRANCISCO, CA 94111-3834

FORMALITIES LETTER



OC000000007723450

Date Mailed: 03/27/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/11/2002 HDEME351 00000055 201430 10053487

FILED UNDER 37 CFR 1.53(b)

01 FC:205 65.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$36.
 - \$36 for 4 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 471.**

07/03/2002 NHASSANI 00000011 201430 10053487

01 FC:201 370.00 CH
02 FC:203 36.00 CH

*A copy of this notice **MUST** be returned with the reply.*

Todas

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

JUN 06 2002

Please type a plus sign (+) inside this box →

Approved for use through 10/31/2002. OMB 0651-0931

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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#3

MR/H

TRANSMITTAL
FORM

(to be used for all correspondence after initial filing)

		Application Number	10/053,487
		Filing Date	November 2, 2001
		First Named Inventor	Sasai, Toshihiro
		Group Art Unit	2612
		Examiner Name	Unassigned
Total Number of Pages in This Submission	10	Attorney Docket Number	080975

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)		<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1) Declaration and Power of Attorney (combined) 2) Supplemental Application Data Sheet; 3) Copy of Notice to File Missing Parts of Nonprovisional Application; and 4) Return Postcard.
	Remarks	The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Townsend and Townsend and Crew LLP Kenneth R. Allen	
Signature		
Date	May 28, 2002	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

May 28, 2002

Typed or printed name	Diane E. Hawley	
Signature		Date
	May 28, 2002	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PA 3225516 v1

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JUN 06 2002

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 511)

Complete if Known	
Application Number	10/053,487
Filing Date	November 2, 2001
First Named Inventor	Sasai, Toshihiro
Examiner Name	Unassigned
Group Art Unit	2612
Attorney Docket No.	080975

METHOD OF PAYMENT (check all that apply)

Check Credit Card MoneyOrder Other None

Deposit Account:

Deposit
Account
Number

20-1430

Deposit
Account
Name

Townsend and Townsend and
Crew LLP

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee
 to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity
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Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	370
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1)

(\$370)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims		Fee from below	Fee Paid
	Fee Code	Fee (\$)		
	24	-20**	= 4	X \$9 = \$36
Independent Claims	2	-3**	= 0	X \$42 = \$0
Multiple Dependent			X	=
Large Entity	Small Entity			
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$36)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)					
3. ADDITIONAL FEES		Large Entity		Small Entity	
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Code	Fee (\$)
105	130	205	65		Surcharge - late filing fee or oath
127	50	227	25		Surcharge - late provisional filing fee or cover sheet
139	130	139	130		Non-English specification
147	2,520	147	2,520		For filing a request for reexamination
112	920*	112	920*		Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*		Requesting publication of SIR after Examiner action
115	110	215	55		Extension for reply within first month
116	400	216	200		Extension for reply within second month
117	920	217	460		Extension for reply within third month
118	1,440	218	720		Extension for reply within fourth month
128	1,960	228	980		Extension for reply within fifth month
119	320	219	160		Notice of Appeal
120	320	220	160		Filing a brief in support of an appeal
121	280	221	140		Request for oral hearing
138	1,510	138	1,510		Petition to institute a public use proceeding
140	110	240	55		Petition to revive – unavoidable
141	1,280	241	640		Petition to revive – unintentional
142	1,280	242	640		Utility issue fee (or reissue)
143	460	243	230		Design issue fee
144	620	244	310		Plant issue fee
122	130	122	130		Petitions to the Commissioner
123	50	123	50		Petitions related to provisional applications
126	180	126	180		Submission of Information Disclosure Stmt
581	40	581	40		Recording each patent assignment per property (times number of properties)
146	740	246	370		Filing a submission after final rejection (37 CFR § 1.129(a))
149	740	249	370		For each additional invention to be examined (37 CFR § 1.129(b))
179	740	279	370		Request for Continued Examination (RCE)
169	900	169	900		Request for expedited examination of a design application
Other fee (specify)					
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3)	(\$105)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Kenneth R. Allen	Registration No. (Attorney/Agent)	27,301	Telephone	650-326-2400
Signature	Kenneth R. Allen			Date	May 28, 2002

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